



FIN*3900
Intermediate Study in Finance:
Topic: CFA level 1 prep course
Winter 2025
Credit Weight 0.50

General Course Information

Instructor: Kristian Adomait

Email kadomait@uoguelph.ca
Office Location See CourseLink (Virtual)
Office Hours See CourseLink (Discussion Board or Email)
Department/School Department of Economics and Finance

Class Schedule: Monday Night 7-10 on Zoom

Pre-requisites: ECON*2310, ECON*2410, ECON*2770, FIN*2000

Course Description

This intermediate special study course is designed to provide an opportunity for students to gain or enhance their knowledge and understanding of a variety of topics covered in the CFA Level 1 program. Students will work, individually or in a group setting, to an agreed program of study as outlined below with the objective of starting the preparatory work to be able to successfully write the CFA Level 1 examination. This is not a replacement for studying for the CFA Level 1 examination but will give students an idea of the materials covered in the exam and what work is needed to prepare for the exam.

Course Learning Outcomes

1. Obtain knowledge and increase comprehension of investment tools and asset classes, as well as portfolio management and ethical and professional standards.
2. Review and understand the majority of the concepts covered in the CFA Level 1 program including Ethics, Quantitative Methods, Economics, Financial Statement Analysis, Corporate Issuers, Portfolio Management, Equity Investments, Fixed Income Investments, Derivative Investments and Alternative Investments.
3. Gain an understanding of how to write the CFA Level 1 examination and exit the course with a plan on how to increase the odds of successfully completing the exam.
4. Collaborate and build upon skills required success within the finance industry.

Course Assessment

Type		Details	Associated Learning Outcomes	Due Date/ location
Quizzes	20%	20 Quizzes (Pre & Post Quiz)	1,2 and 4	Due 2 hours prior to class (Monday at 5:00pm) and before business hours (Monday at 8:00am).
Midterm	30%	Online Using Respondus	All	Saturday March 1 st at 9:00am to 11:00 am.
Notes	10%	Notes on weekly material.	All	Notes are due on Fridays at 5:00pm.
Final Exam	40%	Online Using Respondus	All	TBD – during Final exam period. Virtual.

Course Resources

Required Text: **2025 CFA Program Curriculum Level I Box Set by Wiley – Cost \$239.99**
<https://a.co/d/ety9JUJ>

Note: Some of this material will be assigned but not taught in class.
Some material will be in class but not in any readings. It is important you attend class.

Course Policies

Quizzes:

- 1) Quizzes online using Courselink.
- 2) Best 10/11 quizzes will be used. Students are encouraged to complete the quizzes in advance so as to avoid the risk of missing a quiz and the respective marks lost.

Midterm:

- 1) Midterm online using Respondus lockdown and browser.
- 2) If you miss a midterm due to medical or compassionate reasons, the weight is shifted to the final.

Group Notes:

- 1) Groups will be set up during the 1st week of class.
- 2) If your group has a student who has not contributed to the group report or video (despite numerous documented attempts to reach them), they can be removed from your group. Send me an email with everyone's names in the cc line (except the person being removed and I will assess. Rationale for their removal will need to be provided and considered.

Final Exam: Online using Respondus lockdown and browser.

University Policies

Academic Consideration

When you find yourself unable to meet an in-course requirement because of illness or compassionate reasons, please advise the course instructor in writing, with your name, id#, and e-mail contact. See the academic calendar for information on regulations and procedures for Academic Consideration:

https://www.uoguelph.ca/registrar/calendars/graduate/current/genreg/sec_d0e2180.shtml

Academic Misconduct

The University of Guelph is committed to upholding the highest standards of academic integrity and it is the responsibility of all members of the University community, faculty, staff, and students to be aware of what constitutes academic misconduct and to do as much as possible to prevent academic offences from occurring.

University of Guelph students have the responsibility of abiding by the University's policy on academic misconduct regardless of their location of study; faculty, staff and students have the responsibility of supporting an environment that discourages misconduct. Students need to remain aware that instructors have access to and the right to use electronic and other means of detection. Please note: Whether or not a student intended to commit academic misconduct is not relevant for a finding of guilt. Hurried or careless submission of assignments does not

excuse students from responsibility for verifying the academic integrity of their work before submitting it. Students who are in any doubt as to whether an action on their part could be construed as an academic offence should consult with a faculty member or faculty advisor.

The Academic Misconduct Policy is detailed in the Graduate Calendar:

https://www.uoguelph.ca/registrar/calendars/graduate/current/genreg/sec_d0e2630.shtml

Accessibility

The University of Guelph is committed to creating a barrier-free environment. Providing services for students is a shared responsibility among students, faculty and administrators. This relationship is based on respect of individual rights, the dignity of the individual and the University community's shared commitment to an open and supportive learning environment. Students requiring service or accommodation, whether due to an identified, ongoing disability or a short-term disability should contact Student Accessibility Services as soon as possible.

For more information, contact SAS at 519-824-4120 ext. 56208 or email sas@uoguelph.ca or see the website: <https://wellness.uoguelph.ca/accessibility/>

Course Evaluation Information

Please refer to the [Course and Instructor Evaluation Website](#)

Recording of Materials

Presentations which are made in relation to course work—including lectures—cannot be recorded or copied without the permission of the presenter, whether the instructor, a classmate or guest lecturer. Material recorded with permission is restricted to use for that course unless further permission is granted.

Drop date

The last date to drop one-semester courses, without academic penalty, is **Monday April 4th, 2025**. For regulations and procedures for Dropping Courses, see the Academic Calendar:

<https://www.uoguelph.ca/registrar/calendars/graduate/current/sched/sched-dates-f10.shtml>